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d: Signature (Cam) Mitchel

Docket No.: 92717-00344USP1 (PATENT)

Group Art Unit: 3626

Examiner: Not Yet Assigned

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Oscar A. Chappel

Application No.: 10/690258

Filed: October 21, 2003

For: METHOD OF AND SYSTEM FOR

POPULATING KNOWLEDGE BASES USING

RULE BASED SYSTEMS AND OBJECT-

ORIENTED SOFTWARE

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced Patent Application:

- 1. Information Disclosure Statement;
- 2. IDS (Citation) by Applicant (with references A1; C1-C30); and
- 3. Acknowledgment Postcard.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this

Application No.: 10/690258 Docket No.: 92717-00344USP1

application by this firm) to our Deposit Account No. 10-0447, under Order No. 92717-00344USP1. A duplicate copy of this paper is enclosed.

Dated: July 28, 2004

Respectfully submitted,

By Ross T. Robinson

Registration No.: 47,031

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Attorneys For Applicant

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Signature: Signature: (Carol Mitchell)

Docket No.: 92717-00344USP1

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Oscar A. Chappel

Application No.: 10/690258

Filed: October 21, 2003

For: METHOD OF AND SYSTEM FOR

POPULATING KNOWLEDGE BASES USING RULE BASED SYSTEMS AND OBJECT-ORIENTED SOFTWARE

Examiner: Not Yet Assigned

Confirmation No.: 3419

Art Unit: 3626

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

A copy of each reference on the PTO/SB/08 is attached.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any

Application No.: 10/690258 Docket No.: 92717-00344USP1

patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 10-0447, under Order No. 92717-00344USP1. A duplicate copy of this paper is enclosed.

Dated: July 28, 2004

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Ross T. Robinson

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many she ets as necessary)

Sheet	1	of	2
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Complete if Known				
Application Number	10/690258-Conf. #3419			
Filing Date	October 21, 2003			
First Named Inventor	Oscar A. Chappel			
Art Unit	3626			
Examiner Name	Not Yet Assigned			
Attorney Docket Number	92717-00344USP1			

	U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	US-2003/0078813	04-24-2003	Haskell et al.	

	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (il known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	

*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	C1	Digital Business Office Architecture; 2001 The Technical Resource Connection, Inc.; 1 Page.	
	C2	Tips for Clean Claims for Institutional Providers; 3 Pages.	
	C3	Health Claims for Equivalent Encounter Information; HCFA Uniform Bill-92 (UB-92), Version 4.1; 28 Pages.	
	C4	New Area PPO Puts Emphasis on Claims Service; ALAN MOORSE; Capital District Business Review; 2 Pages.	
	C5	Object Oriented Programming; A Guide for the Beginner- from Modula-2 to Java; 9 Pages.	
	C6	Rules Based Systems Fuzzy Logic Expert Systems Forwards and Backwards Chaining Pattern Matching Imperative and Declarative Programming; GAURAV SHARMA et al.; 13 Pages.	
	C7	AMR Health's - AVS Reimbursement System; 3 Pages.	
	C8	Introduction; 2 Pages.	
	C9	Designing an Expert System; 1 Page.	
	C10	Expert System Architecture; 2 Pages.	
	C11	Choosing a Problem; 1 Page.	
	C12	Knowledge Engineering; 1 Page.	
	C13	Exam-like Questions; 1 Page.	<u> </u>
	C14	Rules and Expert Systems; 1 Page.	
	C15	A Simple Example; 2 Pages.	
	C15	Explanation Facilities; 2 Pages.	
	C17	More Complex Systems; 1 Page.	
	C18	An Expert System Shell in Prolog; 4 Pages.	
	C19	MYCIN: A Quick Case Study; 3 Pages.	
	C20	Knowledge-Based System; 1 Page.	
	C21	Expert System; 1 Page.	
	C22	Inference Engine; 1 Page.	
	C23	Inference Rule; 1 Page.	<u> </u>
	C24	Object Code; 1 Page.	<u> </u>
	C25	Rule-Based Systems; 1 Page.	<u> </u>

Examiner	Date
Signature	Considered

Complete if Known Substitute for form 1449/PTO Application Number 10/690258-Conf. #3419 **INFORMATION DISCLOSURE** Filing Date October 21, 2003 STATEMENT BY APPLICANT First Named Inventor Oscar A. Chappel Art Unit 3626 (Use as many sheets as necessary) **Examiner Name** Not Yet Assigned 2 2 92717-00344USP1 Sheet of Attorney Docket Number

C26	Forward Chaining Systems; 3 Pages.	
C27	Backward Chaining Systems; 2 Pages.	
C28	Forwards vs. Backwards Reasoning; 1 Page.	
C29	Uncertainty in Rules; 1 Page.	
C30	Exam-like Questions; 1 Page.	

^{*}EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner Date Signature Considered

^{&#}x27;Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.